

AIIR

––––––––––––––––––––––––– The World's Pioneering CT Image Reconstruction Technology

TECHNICAL WHITE PAPER VERSION 1

Contents

Introduction

United Imaging Healthcare (UIH) has pioneered groundbreaking technology in computed tomography image reconstruction that seamlessly integrates the benefits of cutting-edge deep learning technology – a subset of Artificial Intelligence (AI) – with the power of model-based iterative reconstruction (MBIR). The Artificial-Intelligence Iterative Reconstruction (AIIR) technology stands out as the most advanced CT image reconstruction architecture which allows for the delivery of superior image quality.

Reconstruction by AIIR involves an optimization process similar to Model-Based Iterative Reconstruction (MBIR), from the projection domain to the image domain. Throughout the iterative loop of forward and backward projections, AIIR maintains factors for modeling optics, noise, anatomy, and physics statistics. It also incorporates deep learning based de-noising technology to replace the role of traditional regularization of MBIR in the optimization reconstruction process. UIH's AIIR can reduce image noise, suppress streak artifacts, increase spatial resolution and improve low contrast detectability. AIIR allows for the reduction of radiation dose required for a diagnostic CT image while maintaining image quality.

In this white paper, we will first give a brief introduction of the characteristics and challenges of traditional CT reconstruction technologies. Next, the design of AIIR will be explained, including the data training of the deep learning de-noising engine and workflow. Then, the core advantages of AIIR in clinical applications will be introduced. Finally, the reconstruction performance of AIIR will be presented in both phantom test and clinical cases.

Challenges of Conventional Filtered Back Projection, Hybrid Iterative, and Model-Based Iterative Reconstruction

Historically, filtered back projection (FBP) has been the preferred CT reconstruction algorithm. However, with a lower radiation dose, the FBP results contain higher noise. As a result, the image quality and capability to provide accurate diagnosis are degraded. In addition, because the anatomy of the human body is generally elliptical in the cross-section (especially in pelvis and shoulder), the attenuation of x-ray at different view angles varies. With a constant exposure strength, significant streak artifacts along the lateral direction are often distinct in FBP images.

To reduce the image noise and artifact in the traditional FBP reconstruction, a hybrid iterative reconstruction method was introduced. This method is based on the FBP architecture, while applying iterative noise reduction algorithms in both the projection domain and the image domain to reduce the streak artifacts and the image noise. However, due to the intrinsic property of FBP, the ability to reduce noise and streak artifacts would be limited with further dose reduction.

To overcome the limitation, the model-based iterative reconstruction (MBIR) was proposed. MBIR is a fully iterative reconstruction technique that can incorporate a sophisticated model to describe the data acquisition process and a general prior term to regularize the final reconstruction. Images are reconstructed by minimizing an objective function that includes a data-fidelity term involving a system model and a statistical noise model, and a regularization term that stabilizes the image properties [1]. The system model can describe the nonlinear, polychromatic nature of the measured data set. The statistical noise model takes photon statistics and electronic noise into consideration. The prior term describes the general form or properties of the

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reconstructed images and provides tunable image quality trade-offs [2]. In combination, these models enable MBIR to break through the inherent limitations of the traditional FBP method and the hybrid iterative method, so that it can fundamentally eliminate the noise problem of the data [3], and retain the original high-frequency details of the image as much as possible while eliminating the high-frequency noise of the image.

Despite the high potential in image quality improvement, the application of MBIR methods still has its challenges. First and foremost, the algorithm architecture complexity of MBIR is higher compared with FBP and hybrid iterative reconstruction methods. Specifically, the regularization is usually difficult to design and greatly depends on the experience of the developer. Secondly, different regularization strengths can lead to significant differences in image quality. With too high regularization strength, the MBIR images can have unnatural "plastic texture" and eliminate fine structures that may be crucial. Moreover, due to the combination of data-fidelity term and priorterm, MBIR reconstructions appear to be increasingly data-dependent. With the same regularization design and strength, the image quality strongly depends on the scan protocol, patient anatomy, and location of interests. In addition, compared with FBP and hybrid iterative reconstruction methods, MBIR methods have a slower reconstruction speed due to the complex iterative process that involves multiple forward and backward projection computations, limiting the availability for CT scanning in high patient volume settings.

To develop a robust and fast MBIR method that can be deployed in the clinical routine, we propose an adaptive regularization term that eliminates the unnatural image texture and accelerates the overall reconstruction speed.

Development of Deep Learning-Based Image Reconstruction

Deep learning technology, as a type of AI technology, has been developing rapidly. Recently, researchers have incorporated deep learning technology into CT reconstruction and demonstrated impressive improvement in image quality as well as reconstruction speed [4-6]. This data-driven reconstruction method demonstrates exceptional noise reduction capabilities, effectively ushering in a new era of low-dose image reconstruction. The basic principle of a mainstream deep learning-based image de-noising approach is as follows: During the training process, pairs of low-dose images with compromised image quality and normaldose images with high quality serve as inputs and output labels to train a deep neural network (DNN). The objective is to optimize the numerous parameters in the DNN. This optimized DNN is then used as a fixed model in the application process, where the network can process any low-dose images to reduce the noise while maintaining the fine structures.

Now that this deep learning technology has reached a high level of maturity, the time is right to explore an innovative reconstruction technology that combines those latest advances with the advantages of MBIR.

AIIR: The World's First CT Reconstruction Algorithm that Combines Deep Learning and MBIR

The objectives behind designing high-performance CT reconstruction algorithms include obtaining high-quality CT images with lower noise, higher contrast resolution, higher spatial resolution, fewer artifacts, and more natural anatomical structures and noise texture, all while reducing the dose.

After years of diligent research and development, UIH is proud to present AIIR. The world's first CT reconstruction algorithm which combines deep learning technology and MBIR algorithm. Originating from a unique architecture,

AIIR utilizes the advantages of both MBIR and deep learning technologies. Using the same iterative framework as a conventional MBIR method, AIIR adopts a realistic statistical CT forward model and compares the synthetic measurements based on the current image estimates with the measurements. Incorporating a pre-trained deep prior, AIIR inherits the good natural effect of deep learning denoising network to further improve the image quality while maintaining the natural noise texture. AIIR is a breakthrough in CT reconstruction technology and one of the most advanced reconstruction technologies in the world (Figure 1).

Figure 1. The Generations of CT Reconstruction Technology.

AIIR Overcomes the Limitation of MBIR

The basic principle of the MBIR algorithm can be described using the following optimization problem:

Equation 1: $U^* = \operatorname{argmin}_U (\|\mathsf{A}U - Y\| \hat{\mathsf{x}} + \beta R(U))$

Equation 1 is the objective function that includes the data fidelity term ∥A*U* - Y∥*‰* and the regularization term *βR(U)* [7].

The CT image is denoted with *U* and the line-integrals is denoted with *Y*; a well calibrated system matrix **A**, the relationship between the mean of the image *U* and the line-integrals **Y** can be modeled as **Equation 2.**

Equation 2: $\bar{Y} = \mathsf{A}\bar{U}$

The data fidelity term is derived as the marginal likelihood function presuming that the noisy line-integrals follow a Gaussian distribution with a covariance matrix **W**.

In practice, the regularization term **R***(U)* is difficult to design. The regularization term can be considered as a prior information about the image *U*. Since the specific type of regularization function **R***(U)* is designed manually, it can only focus on very simple description of clinical image characteristics with a limited number of parameters to adapt. Due to the limitation in the conventional design mentioned above, the performance of the widely used norm-based regularization functions will result in unnatural image structures, such as plastic-looking appearances, usually more severe when the scan dose is lower. This is a major limitation of MBIR for clinical low dose CT applications.

Deep learning technology is a good solution to address the challenges of manual regularization design. UIH's AIIR is a new perspective which replaces the handcrafted regularization term with a data driven trained deep learning model.

A deep-learning-based regularization function has two main advantages over conventional regularization based on norms. First and foremost, a deep learning network such as a CNN usually has millions of parameters. The enormous number of parameters, impossible to set manually in traditional regularization functions, provide the model with almost boundless potential to describe more complex characteristics of clinical images. Second, the deep learning-based regularization model was trained with high quality clinical images, accepted by radiologists as the training target or label. The model learned to differentiate the signal from noise and describe the image characteristics from itself. It has the power to describe the image characteristics with abstract features that cannot be pre-defined in the traditional MBIR regularization function. As a result, the trained network will provide images that directly match the quality requirements of the users. While solving the limitations of MBIR, deep learning also has the capability to reduce image noise, which can further improve the quality of the reconstructed images [10].

The Workflow of AIIR

The architecture of AIIR is based on the framework of MBIR, in which a deep learning de-noising engine is introduced as the regularization process to remove the limitation of conventional MBIR.

As one core part of AIIR, the data-fidelity term considers multiple models to fully describe the system properties including a system optics model, a detector model and a quantum statistical model of each specific scan during data collection. At each iteration loop, the estimated images are transformed into projection data and compared with acquired projection data, then updated via forward and backward projection. During this process, detailed information from the raw projection data can be added to the image, so that the anatomic and pathological information can be preserved in the image.

In addition to the data-fidelity term, the deep learning de-noising engine determines the reconstruction performance of AIIR. This is a data-driven method, and its performance largely depends on the training dataset. The training dataset of AIIR which contains millions of high-quality/low-dose image pairs is generated directly from the MBIR methods. This dataset encompasses a wide range of clinical scenarios, including multiple body parts, ages, genders, body types, diverse scan protocols, radiation dose levels, and typical diseases. The large number of cases in the training dataset ensures the network's generalizability and robustness. Figure 2 illustrates the training process of the deep learning denoising engine.

The diagram of the AIIR optimization algorithm is shown in Figure 3. In each iteration, the AIIR method first seeks to minimize the data-fidelity cost function, and then applies the deep learning de-noising engine to reduce the noise. The image quality improvement increases with more iterations where more detailed information is recovered while the noise is constantly suppressed by the denoising engine. The iterative process is finished when the reconstructed image meets clinical requirements.

Altogether with the advanced design concept mentioned above, the AIIR has demonstrated outstanding reconstructed image quality in many aspects such as noise reduction, excellent low-contrast detectability, ultra-high spatial resolution, and artifact-free image performances.

The Workflow of AIIR

Figure 2. Deep Learning De-Noising Engine Training Process

Deep Learning DeNoising Engine

The Workflow of AIIR

Figure 3. AIIR Reconstruction Workflow

Excellent Performance in Noise Reduction

Compared with the traditional FBP and hybrid iterative reconstruction, AIIR shows excellent noise reduction capability. This was first demonstrated with a water phantom test, where a water phantom of 320 mm diameter was scanned with 120 kV at various dose levels from 10 mAs up to 400 mAs. We then reconstructed the images using FBP, KARL (a hybrid iterative reconstruction method developed by UIH), and AIIR. The reconstructed images at a high dose level (400 mAs) and a low dose level (15 mAs) are shown in Figure 4. At both dose level, AIIR exhibits the best noise reduction capability compared with FBP or KARL.

We further computed the noise magnitude in a centered ROI for the three different methods at each dose levels. Figure 5 summarizes the measured noise for the different reconstruction methods. From the noise curves we observe that the AIIR shows the minimal noise level compared to FBP or KARL over the range of radiation dose. This difference is more distinct at low dose levels, where AIIR can reduce the noise by up to 90% compared with FBP.

Figure 4. Reconstructed Images and STD Values of 320 mm Water Phantom Under Different Reconstruction Methods

Especially at the15 mAs, which is the "limit" dose for the 320 mm water phantom, the reconstructed image under AIIR still maintains the ultra-low noise.

The red, blue and black curves represent the noise levels of FBP, KARL and AIIR, respectively.

Ultra-low-dose Imaging and Low-contrast Detectability Improvement

Radiation exposure remains a paramount consideration in the advancement of CT Technology. Indeed, every aspect of CT protocols-from scan strategy to reconstruction algorithms-plays a pivotal role in optimizing the balance between image quality and the potential risks of radiation. AIIR's advanced framework helps reconstruct images at ultra-low doses and improve the low-contrast detectability (LCD) of images, outperforming the other clinically available reconstruction methods in ultra-low-dose imaging [11].

We used a model observer LCD evaluation method [12] to evaluate the capability of AIIR for dose reduction and LCD improvement. A CCT189 MITA CT IQ low contrast phantom (The Phantom Laboratory, Salem, NY) was used for evaluation. It contained four rods with different diameters and contrasts. The phantom was scanned with 120 kVp at three different dose levels (CTDIvol = 6.28/0.71/0.63 mGy). Images were reconstructed using FBP and AIIR. The Channelized Hotelling Observer (CHO) [13] was used to assess the LCD. The Receiver Operating Characteristic (ROC) curves and the Area Under the ROC Curve (AUC) values were calculated to compare the LCD performance between FBP and AIIR.

From the ROC curves and the AUC values shown in figure 6, one can observe that the LCD performance of AIIR at ultra-low dose (1/10 of the normal dose) is better than FBP at the same dose level and comparable with FBP results at normal dose level. This indicates that AIIR can reduce the dose up to 90% compared with FBP at the same LCD.

To further evaluate the performance of LCD, the Catphan 700 phantom was scanned with 120kV 200mAs 15mGy 1mm. Images were reconstructed with a slice thickness of 1 mm individually using FBP, KARL, and AIIR, shown in Figure 7. We can see that AIIR improves the LCD compared with FBP and KARL at the same dose level.

Ultra-low-dose Imaging and Low-contrast Detectability Improvement

Figure 6. Evaluation of Dose Reduction and LCD Improvement Capability of AIIR.

The ROC curves and AUC values of FBP at normal dose, FBP at low dose, and AIIR at low dose are shown in red, blue, and black, respectively. The higher true positive fraction and AUC value, the better the LCD performance.

Ultra-Low-Dose Imaging and Low-contrast Detectability Improvement

Figure 7a. Catphan 700 Phantom Includes Low-Contrast Module with Different Supra-Slice and Subslice Contrast Targets

Supra-Slice Target Diameters

2.0 mm, 3.0 mm, 4.0 mm 5.0 mm, 6.0 mm, 7.0 mm 8.0 mm, 9.0 mm, 15.0 mm

Supra-Slice Target Diameters 3.0 mm, 5.0 mm, 7.0 mm, 9.0 mm

Figure 7b. Reconstructed Images of Low-Contrast Module Using FBP, KARL, and AIIR

More Details with Improved Ultra-High Spatial Resolution

AIIR inherits the advantages of MBIR method and can reconstruct fine structures. For CT imaging at standard clinical dosage levels, the conventional FBP algorithm may not produce optimal results when imaging certain body parts with intricate and fine structures. AIIR improves spatial resolution and helps the clinicians to identify small lesions.

Figure 8a. CT ACR 464 Phantom

The CT ACR 464 phantom includes a high-spatial resolution module with eight high-contrast line-pair patterns of 4, 5, 6, 7, 8, 9, 10 and 12 line pairs per cm.

To evaluate the spatial resolution properties of AIIR results, we scanned a CT ACR 464 phantom with 120 kV at 300 mAs, namely 23.45 mGy CTDI dose. The phantom images were reconstructed using FBP and AIIR in 512x512 matrices. The reconstructed images of the line-pair phantom shown in Figure 8 demonstrate that AIIR exhibits higher spatial resolution and less noise compared with FBP under the same scanning conditions.

Figure 8b. 120 kV 300 mAs 23.45 mGy 200 FoV 512x512 Matrix

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The reconstructed images of CT ACR 464 phantom using FBP and AIIR. One can observe that the line pairs in AIIR reconstructed images are of higher contrast and more differentiable than those in FBP reconstructed images.

Artifact-Free Performance on Streak Artifact Suppression

Streak artifacts are one of the major challenges in highquality CT image reconstruction, especially in low-dose scans. Because of ultra-high attenuation along the longaxis bone structures, such as the lateral view in shoulder, the measured signals are low and overwhelmed with the noise. This will result in severe directional streak artifacts in the FBP reconstructed CT images (Figure 9a). Hybrid iterative reconstruction methods can somewhat reduce the streak artifacts (Figure 9b), but it is difficult to eliminate all of them.

The issue of streak artifacts can be effectively addressed using AIIR. This is the benefit of the unique AIIR algorithm architecture that combines both the backbone of MBIR and the flesh of data-driven deep-learning technology. The statistical characteristics in raw data, like the inhomogeneity of noise distribution mentioned above, can be gradually filtered out throughout the iterative process of reconstructions, which results in almost complete suppression of the streak artifacts in images (Figure 9c).

In some cases, the patients may not be able to lift their arms and place their arms next to the body. This position can lead to severe streak artifacts in abdominal scans. Figure 10 shows that the AIIR images can properly deal with this class of clinical cases with nearly "streak-artifactfree" performance.

Figure 9. Adult Shoulder CT Images Using Different Reconstruction Methods

Scan Parameters: 100 kV, CTDIvol=5.71 mGy, pitch=1, slice thickness=1.0 mm, helical scan type Compared with conventional FBP and KARL reconstructed CT images, AIIR image shows the advantage of "zero streak artifacts".

Artifact-Free Performance on Streak Artifact Suppression

Voltage	CTDIvol	DLP	ED	Pitch	Slice thickness	Rotation Speed	Scan type
120kV	$0.67m$ Gy	113.9mGy*cm	1.6mSv	1.375	0.5 _{mm}	0.5s	Helical
(a)	FBP	(b)		KARL	$\left(\mathsf{c}\right)$	AllR	

Figure 10. Adult Abdomen CT Images with Arms Aside Using Different Reconstruction Methods

Scan Parameters: 120 kV, CTDIvol=0.67 mGy, pitch=1.37, slice thickness=0.5 mm, helical scan type

The images reconstructed by FBP show severe streak artifacts. KARL can reduce the streak artifact, yet not enough for diagnostic image quality. AIIR images show the best performance of streak artifact reduction and highest signal-to-noise ratio (SNR) in the image.

Clinical Applications Evidence

To thoroughly assess the effectiveness of the AIIR algorithm in clinical applications, a diverse range of clinical cases have been utilized to showcase its advantages in producing high-quality CT images.

Figure 11 shows an example of clinical images of an adult chest scan using ultra-low dose (CTDIvol=0.21mGy). According to the ACR 2020 Dose Index Registry (DIR) Executive Summary report [14], 98% dose reduction of a chest scan is realized when compared with the average dose of CTDIvol 12~13 mGy. In this ultra-low dose scanning scenario, the images are reconstructed individually by FBP, KARL, and AIIR. In comparison with FBP and KARL, images reconstructed by AIIR exhibit superior image quality. This is evidenced by the presence of less image noise, and the complete elimination of streak artifacts. Furthermore, the AIIR method allows for enhanced visualization of anatomical and pathological details, resulting in images with more realistic image texture and improved diagnostic capabilities. Specifically, the presence of conditions such as emphysema, thickened interlobular septa, and pleura can be more clearly visualized in images reconstructed using the AIIR method. **Scan Parameters:** 100 kV, CTDIvol=0.21mGy, pitch=1,

Figure 11. Adult Chest CT Images

slice thickness=0.5 mm, helical scan type

From the top to the bottom show the axial, coronal, and sagittal slices of the reconstructed volumes. From the left to the right show the results of (a) FBP, (b) KARL, and (c) AIIR respectively.

Clinical Applications Evidence

Figure 12 presents a representative example of clinical images obtained from a CT scan of an adult abdomen belonging to an obese patient. The example demonstrates the ability of the imaging reconstruction algorithm to capture detailed anatomic structures, including the abdominal organs and surrounding soft tissue. The images are reconstructed by FBP, KARL and AIIR under the

same scan parameters. When comparing the images produced by FBP and KARL to those produced by AIIR, it is evident that there is a significant decrease in image noise, an improvement in LCD, a reduction in streak artifacts, an increase in realistic image textures, and a clearer visualization of vascular structures.

Figure 12. Adult Abdomen CT Images

Scan Parameters: 120 kV, CTDIvol=9.36mGy, pitch=1, slice thickness=0.5 mm, helical scan type

From the top to the bottom show the axial, coronal, and sagittal slices of the reconstructed volumes. From the left to the right show the results of (a) FBP, (b) KARL, and (c) AIIR respectively.

Clinical Applications Evidence

Figure 13 illustrates a representative example of clinical images captured during an adult abdomen and pelvis angiography scan. The images are reconstructed by FBP, KARL and AIIR under the same scan parameters. At the ultra-low dose, AIIR demonstrated a marked improvement in image quality when compared to FBP and KARL. Images reconstructed by AIIR exhibited a notable reduction in

noise, a significant increase in LCD, a decrease in artifacts, and a more realistic representation of image textures across the axial, coronal, and sagittal planes. Furthermore, the soft tissue structures, small vessels, and even subtle intrahepatic and intrarenal cystic lesions are more clearly visible in the images reconstructed by AIIR.

Figure 13. Adult Abdomen CT Images

Scan Parameters: 80 kV, CTDIvol=2.01mGy, pitch=1, slice thickness=1.0 mm, helical scan type.

From the top to the bottom show the axial, coronal, and sagittal slices of the reconstructed volumes. From the left to the right show the results of (a) FBP, (b) KARL, and (c) AIIR respectively.

Clinical Applications Evidence

Figure 14 shows an example of clinical images in an adult abdomen angiography scan. The images are reconstructed by FBP, KARL and AIIR under the same scan parameters. At the low dose, AIIR exhibits a notable improvement in image quality when compared to FBP and KARL. The image noise

is significantly reduced, resulting in higher spatial resolution and improved visualization of the pulmonary artery dissection. The true and false lumen can be distinguished with greater clarity, allowing for better identification of the origin of the abdominal cavity from the true lumen.

Scan Parameters: 100 kV, CTDIvol=5.71mGy, pitch=1, slice thickness=1.0 mm, helical scan type

From the left to the right show the results of (a) FBP, (b) KARL, and (c) AIIR respectively.

Conclusion

In summary, the AIIR reconstruction technology represents a groundbreaking innovation in the field of medical CT imaging, pioneered by United Imaging Healthcare. AIIR seamlessly integrates model-based iterative reconstruction with cutting-edge AI deep-learning technology. By leveraging the strengths of both approaches, AIIR offers a unique combination of robust noise reduction and artifact minimization, allowing for ultra-low-dose CT imaging with outperforming image quality. Additionally, the reconstructed images feature a more realistic image texture, which is highly valued by clinicians. The combination of these two powerful technologies allows AIIR to establish a new standard in low-dose imaging, and optimizing image quality in multiple aspects, such as noise reduction, excellent low-contrast detectability, ultra-high spatial resolution, and artifact-free image performances. Ultimately, AIIR can deliver exceptional image quality and diagnostic capabilities.

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ABOUT UIH

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